

Substitute for form 1449A/PTO

**RELATED CASE STATEMENT***(Use as many sheets as necessary)*

Sheet

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of

1

**Complete if Known**

Application Number	10/591,485
Filing Date	September 1, 2006
First Named Inventor	Jacob Waugh et al.
Art Unit	TBA
Examiner Name	TBA
Attorney Docket Number	13720-105074US1

**U.S. PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Document Number	Filing Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	
		Number - Kind Code <sup>2</sup> (if known)			
	1	20070077259	03-03-2006	Dake, et al.	
	2	20030229034	07-20-2001	Waugh, et al.	
	3	20050239705	03-03-2005	Dake et al.	
	4	20050196414	03-03-2005	Dake et al.	
	6	11/816,602	08-17-2007	Dake, et al.	
	7	10/591,486	06-18-2007	Dake, et al.	
	8	10/591,732	09-01-2006	Dake, et al.	
	9	10/793,138	03-03-2004	Waugh et al.	
	10	11/955,076	12-12-2007	Waugh, et al.	
	11	11/954,885	12-12-2007	Waugh et al.	

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Substitute for Form 1449/A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary)				Complete if Known		
				Application No.	10/591,485	
				Filing Date	09/01/2006	
				First Named Inventor	Waugh et al.	
				Group Art Unit	Not Yet Assigned	
Examiner Name	Not Yet Assigned					
Attorney Docket Number	13720-105074US1					
Sheet	1	of	10			
<b>U.S. PATENT DOCUMENTS</b>						
Examiner Initials	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
	1	4,434,228		Swann	02/28/1984	
	2	4,816,568		Hamilton, Jr. et al.	03/28/1989	
	3	5,252,713		Morgan, Jr. et al.	10/12/1993	
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	5	5,512,547		Johnson, et al.	04/30/1996	
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	12	5,766,605		Sanders, et al.	06/16/1998	
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Examiner Signature					Date Considered	

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent document, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

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				Application No.	10/591,485	
				Filing Date	09/01/2006	
				First Named Inventor	Waugh et al.	
				Group Art Unit	Not Yet Assigned	
Examiner Name	Not Yet Assigned		Attorney Docket Number	13720-1050741/51		
Sheet	2	of	10			
<b>U.S. PATENT DOCUMENTS</b>						
Examiner Initials	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
	26	6,610,820		Bonny	08/26/2003	
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	28	6,669,951		Rothbard, et al.	12/30/2003	
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	50	20030083256		Rothbard et al.	05/01/2003	
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				First Named Inventor	Waugh et al.	
				Group Art Unit	Not Yet Assigned	
				Examiner Name	Not Yet Assigned	
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	51	20030104622		Robbins et al.	06/05/2003	
	52	20030113349		Coleman	06/19/2003	
	53	20030118598		Hunt	06/26/2003	
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	62	20040009469		Apt et al.	01/15/2004	
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	64	20040013692		Aoki, et al.	01/22/2004	
	65	20040033241		Donovan	02/19/2004	
	66	20040037853		Borodic	02/26/2004	
	67	20040127556		Lu, et al.	07/01/2004	
	68	20040147443		Renault	07/29/2004	
	69	20040161405		Rothbard et al.	08/19/2004	
	70	20040186045		Rothbard et al.	09/23/2004	
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	74	20040247623		Cady	12/09/2004	
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Examiner Signature					Date Considered	

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				Filing Date		09/01/2006	
				First Named Inventor		Waugb et al.	
				Group Art Unit		Not Yet Assigned	
				Examiner Name		Not Yet Assigned	
Sheet	4	of	10	Attorney Docket Number			13720-105074US1
<b>U.S. PATENT DOCUMENTS</b>							
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		Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	76	2005/0112146		Graham	05/26/2005		
	77	2005/0175636		Donovan	08/11/2005		
	78	20050232966		Hughes	10/20/2005		
	79	20050238667		Hunt	10/27/2005		
	80	20060018931		Taylor	01/26/2006		
	81	2006/0024331		Fernandez-Salazar, et al.	02/02/2006		
	82	2006/0040882		Chen	02/23/2006		

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				Examiner Name		Not Yet Assigned		
Sheet	5	of	10	Attorney Docket Number		13720-105074US1		
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		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	83	EP	0737074		Allergan	10/16/2006		
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	85	EP	1180524		Lipotec, SA	02/20/2002		
	86	EP	1185291		Biotecon Ges Fuer Biotechnolog	03/13/2002		
	87	EP	1421948		Allergan	05/26/2004		
	88	EP	1477183		Allergan	11/17/2004		
	89	WO	1992/07871		Allelix Biopharma	05/14/1992		
	90	WO	1994/04686		Biogen Inc.	03/03/1994		
	91	WO	1997/40854		Antivirals, Inc.	11/06/1997		
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	95	WO	200162297		Cellgate	08/30/2001		

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		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	96	WO	2002/07773		Essentia Biosystems	01/31/2002		
	97	WO	2003/49772		Cellgate	06/19/2003		
	98	WO	2002/065986		Cellgate	08/29/2002		
	99	WO	2002/067917		Cellgate	09/06/2002		
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	101	WO	2003/072049		Essentia Biosystems	09/04/2003		
	102	WO	2006/005910		Ipsen Ltd.	01/19/2006		

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<b>OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS</b>						
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	103	Author: Title: 1992 Sigma Catalog  Publ:  Vol/Iss: Pages: 1745 Date:				
	104	Author: Title: Mammalian Expression  Publ: 1998 Promega Catalog  Vol/Iss: Pages: 262-265 Date:				
	105	Author: Aids Title: Use of HIV-1, to target and/or active antigen-presenting cells, and/or to deliver cargo molecules  Publ: <a href="http://pharmalicensing.com/public/outlicensing/view/3766">http://pharmalicensing.com/public/outlicensing/view/3766</a>  Vol/Iss: Pages: Date:				
	106	Author: Ambache, N. Title: A further survey of the action of clostridium botulinum toxins upon different types of automatic nerve fibre  Publ: <u>J. Physiol.</u>  Vol/Iss: 113 Pages: 1-17 Date: 1951				
	107	Author: Bermann I., et al. Title: Selective degeneration of sudomotor fibers in Ross Syndrome and successful treatment of compensatory hyperhidrosis with botulinum toxins  Publ: <u>Muscle &amp; Nerve</u>  Vol/Iss: 21(12) Pages: 1790-1793 Date:				
	108	Author: Blanes-Mira et al. Title: Identification of SNARE complex Modulators that Inhibit Exocytosis from an Alpha-Helix-Constrained Combinatorial Library  Publ: <u>Biochem. J.</u>  Vol/Iss: 375(1) Pages: 159-166 Date: October 1, 2003				
	109	Author: Blanes-Mira et al. Title: Small peptides after the N-Terminus domain of SNAP25 Inhibit SNARE complex assembly and regulated exocytosis  Publ: <u>J. of Neurochem.</u>  Vol/Iss: 88(1) Pages: 124-135 Date: January 2004				

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**OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>6</sup>
	110	Author: Blanes-Mira et al. Title: A Synthetic Hexapeptide *Argireline) with Antiwrinkle Activity  Publ: <u>Int'l J. of Cosmetic Science</u>  Vol/Iss: 24(5) Pages: 303-310 Date: 2002	
	111	Author: Console et al. Title: Antennapedia and HIV Transactivator of Transcription (TAT) Promote Endocytosis of High Molecular Weight Cargo Upon Binding to Cell Surface Glycosaminoglycans  Publ: <u>J. Biol. Chem.</u>  Vol/Iss: 278(37) Pages: 35109-35114 Date: 2003	
	112	Author: Cristiano et al. Title: Hepatic gene therapy: Efficient gene delivery and expression in primary hepatocytes utilizing a conjugated adenovirus-DNA complex  Publ: <u>Proc. Nat'l Acad. Sci.</u>  Vol/Iss: Pages: 11548-11552 Date: December 1993	
	113	Author: Crosland et al. Title: Detection of Sparse Botulinum Toxin A Binding Sites Using Fluorescent Latex Microspheres  Publ: <u>J. of Histotechnology</u>  Vol/Iss: 22(2) Pages: 113-115 Date: June 1999	
	114	Author: Fisher et al. Title: Matrix Sialoprotein of Developing Bone  Publ: <u>J. Biol. Chem.</u>  Vol/Iss: 258 Pages: 12723-12727 Date: October 1983	
	115	Author: GenBank Accession No. M77788 Title:  Publ:  Vol/Iss: Pages: 2005 Date: June 21, 2005	
	116	Author: Glogau, R. Title: Botulinum Toxin A neurotoxin for axillary hyperhidrosis-no sweat Botox  Publ: <u>Dermatol. Surg.</u>  Vol/Iss: 24(8) Pages: 817-819 Date: 1998	

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	117	Author: Green, M. Title: Mutational Analysis of HIV-1 TAT Minimal Domain Peptides: Identification of trans-dominant mutants tat Suppress HIV-LTR-driven Gene Expression  Publ: Cell  Vol/Iss: 58 Pages: 215-223 Date:			
	118	Author: Heckman, M. et al. Title: Botulinum toxin for axillary hyperhidrosis (excessive sweating)  Publ: N. Engl. J. Med.  Vol/Iss: 344(7) Pages: Date:			
	119	Author: Lim, E. et al. Title: Topical botulinum toxin to treat hyperhidrosis? No sweat!  Publ: Medical Hypotheses  Vol/Iss: 67(1) Pages: 27-32 Date:			
	120	Author: Nauman, M. et al. Title: Focal Hyperhidrosis - Effective treatment with Intracutaneous botulinum Toxin  Publ: Arch Dermatol.  Vol/Iss: 134 Pages: 301-304 Date:			
	121	Author: Naver H. Title: The treatment of focal hyperhidrosis with botulinum toxin  Publ: Eur. J. Neurol.  Vol/Iss: 4(2) Pages: S75-9 Date: 1997			
	122	Author: Odderson, I. Title: Axillary hyperhidrosis: Treatment with Botulinum Toxin A  Publ: Arch. Phys. Med. Rehabil.  Vol/Iss: 79(3) Pages: 350-2 Date:			
	123	Author: Printout of Website Title: Botulinum cream  Publ: <a href="http://www.cbicchina.com/ebcns/4/pd/82305.html">http://www.cbicchina.com/ebcns/4/pd/82305.html</a>  Vol/Iss: Pages: Date:			

Examiner Signature		Date Considered	
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<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent document, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

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Substitute for Form 1449/A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary)				Complete if Known		
				Application No.	10/591,485	
				Filing Date	09/01/2006	
				First Named Inventor	Waugh et al.	
				Group Art Unit	Not Yet Assigned	
				Examiner Name	Not Yet Assigned	
Sheet	10	of	10	Attorney Docket Number		13720-105074US1

**OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published				T <sup>6</sup>
	124	Author: Puls et al.	Title: Gene transfer and expression of a non-viral polycation-based vector in CD4 cells			
		Publ: <u>Gene Therapy</u>				
		Vol/Iss:	Pages: 1774-1778	Date: 10/01/1999		
	125	Author: Retrieved from internet	Title: <a href="http://www.genlantis.com/catalog/product_line.cfm?product_family_key=13&amp;product_line_key=54">http://www.genlantis.com/catalog/product_line.cfm?product_family_key=13&amp;product_line_key=54</a>			
		Publ:				
		Vol/Iss:	Pages:	Date: 09/02/2005		
	126	Author: Schantz et al.	Title: Properties and use of Botulinum Toxin and Other Microbial Neurotoxins in Medicine			
		Publ: <u>Microbiological Reviews</u>				
		Vol/Iss: 56(1)	Pages: 80-89	Date: March 1992		
	127	Author: Schwartz et al.	Title: Peptide-Mediated Cellular Delivery			
		Publ: <u>Curr. Opin. Mol. Ther.</u>				
		Vol/Iss: 2(2)	Pages: 162-167	Date: 2000		
	128	Author: Shalaby	Title: Polymers for Augmenting Botulinum Vaccine Efficiency			
		Publ:				
		Vol/Iss:	Pages:	Date: 1998		
	129	Author: Urbanova et al.	Title: Noncovalent interaction of peptides with porphyrins in aqueous solution: conformational study using vibrational CD spectroscopy			
		Publ: <u>Bionpolymers (peptide science)</u>				
		Vol/Iss: 60	Pages: 307-316	Date: 2001		
	130	Author: Wu et al.	Title:			
		Publ: <u>J. Biol. Chem.</u>				
		Vol/Iss: 10	Pages: 4429-4432	Date: 1987		

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